

K-15016/157/2015-SC-I
Government of India
Ministry of Urban Development

Nirman Bhawan, New Delhi
Dated: 28th June, 2017

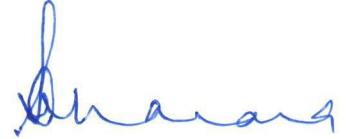
OFFICE MEMORANDUM

Subject: Next Steps to be taken by 30 winning cities of Round 3 of Smart City Challenge.

The undersigned is directed to refer to this Ministry's OM of even number dated 28th June 2017 (copy enclosed) conveying the list of winner cities of Round 3 of Smart City Challenge and to say that as a first step, a Special Purpose Vehicle (SPV) is required to be established by each city with a Chief Executive Officer as its head. A model Articles of Association was circulated to all the State Governments, which City may utilise with benefit. To facilitate cities to take actions in a time bound manner, a list of activities to be done by cities along with steps and appropriate links is enclosed.

2. It is, therefore, requested that the winning cities may be directed to start the process/activities and apprise this Ministry about the action taken regularly.

Encl.: As above.



(Sanjay Sharma)
Under Secretary to the Government of India
Tel. - 23062908
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To,

Principal Secretaries (UD)/State Mission Directors in respect of 30 Smart Cities of Round 3.

Copy to: Municipal Commissioners of 30 Smart Cities of Round 3.

Next Steps for Round 3 Cities

S.No.	Activity	Steps and Reference Document link
1.	Incorporation of SPV	Model Article of Association available at http://www.smartcities.gov.in/writereaddata/Final_MCA_AOA.pdf
2.	Agenda for First Meeting of Board of Directors	Immediately after formation of the SPV, a Board Meeting has to be held. An illustrative list of items to be discussed in the first Board Meeting of the SPV, decision tree to enable the Smart Cities to make a decision on SPV operationalization are available at http://www.smartcities.gov.in/writereaddata/Advisory1_SPV_P2P.pdf
3.	Selection of Independent Directors	The Ministry of Corporate Affairs (MCA) website to access the panel of independent director has been sent to all the States/Smart Cities. The URL of the portal is http://independentdirector.in .
4.	Recruitment of Key Managerial Posts i.e. CEO, CS and CFO	The SPV is required to recruit full time Key Managerial Personnel i.e., Chief Executive Officer, Company Secretary and Chief Finance Officer. HR agencies are being shortlisted by MoUD. Model RFP available at http://smartcities.gov.in/others.aspx
5.	Recruitment of other HR manpower	The SPV will be required to engage/recruit technical and other manpower. Reference Organisational Structure of some lighthouse cities available at http://smartcities.gov.in/spv.aspx
6.	Delegation of Powers to SPV	State Government/parastatals/ULB will need to delegate some of their functions to the SPV for smooth implementation of the Smart City Plan. However, it will be possible if State Laws provide for the same. In case State laws do not have relevant clause to delegate functions to ULBs, in that case SPV will enter into Commercial Contractual Agreement with other agencies.
7.	Converting Plan to Projects	<p>A systematic way has to be followed to convert plans contained in the Smart City Proposals to a group of similar Projects (modules). Projectivization of integrated plans will follow a two-step process – Procure a Project Management Consulting Firm (PMC). The PMC can be of two types - a single one for the Area Based Development (ABD) and Smart Solutions (SS) Or separate PMCs for ABD and SS.</p> <p>The PMC will design, develop the modules (set of projects), prepare the DPR/RfPs for the modules given in the Smart City Proposal and assist in their implementation, etc. as given in para. 10.6 of the Mission Guidelines.</p> <p>Model RFP for engagement of PMC is available at http://smartcities.gov.in/pmc.aspx</p> <p>Policy Advisory with a decision tree is available at http://www.smartcities.gov.in/writereaddata/Advisory1_SPV_P2P.pdf</p>

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OFFICE MEMORANDUM

Subject: Selection of Cities in Round 3 of the Smart Cities All India Challenge.

The Competent Authority has approved the list of top 30 cities (Annexure I) for implementation of proposals sent by the shortlisted Smart Cities in the Round 3 of the Smart Cities Challenge process.

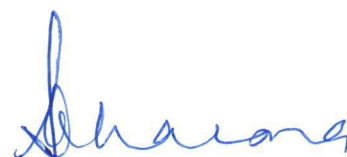
2. Remaining cities (Annexure – II) which have not been selected in Round 3 along with 5 potential Smart Cities (Annexure-III) which had not participated in Round 3, will upgrade their proposals and MoUD will provide handholding assistance. However, they will be selected if they make the grade.

3. Naya Raipur from Chhattisgarh and Amaravati from Andhra Pradesh have been included in the list of 30 cities on the basis of scores obtained by them. However, as these cities have not been notified as ULBs yet, their selections are subject to condition that financial assistance will be provided to these cities after their notification as ULB.

4. In terms of Para 12.2 of the Mission Guidelines, an amount of Rs. 194 crore per winning city is approved after adjusting the advance of Rs. 2 crore already released. The release is subject to establishment of Special Purpose Vehicle (SPV) and meeting other conditions.

5. The State Governments are requested to establish the Special Purpose Vehicle (SPV) in terms of Para 10 of the Mission Guidelines under intimation to this Ministry so that funds could be released at the earliest.

Encl.: As above.



(Sanjay Sharma)

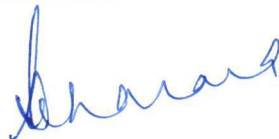
Under Secretary to the Government of India
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To

1. Apex Committee Members:-
 - i) Secretary, Housing and Poverty Alleviation
 - ii) Secretary (Expenditure)
 - iii) Additional Secretary (Smart Cities) & National Mission Director, MoUD
 - iv) Joint Secretary & Financial Adviser, MoUD
 - v) Director, National Institute of Urban Planning
 - vi) Chief Planner, Town and Country Planning
 - vii) Principal Secretary (UD), State Governments of Andhra Pradesh/Gujarat/Madhya Pradesh.
2. Chief Secretaries/Principal Secretaries (UD)/Municipal Commissioners.
3. PSO to Secretary (UD).

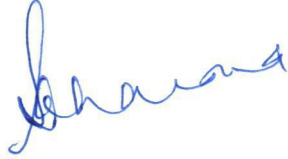
Annexure-I

S.No.	Name of State/UT	Name of City
1	Kerala	Thiruvananthapuram
2	Chhattisgarh	Naya Raipur
3	Gujarat	Rajkot
4	Andhra Pradesh	Amaravati
5	Bihar	Patna
6	Telangana	Karimnagar
7	Bihar	Muzaffarpur
8	Puducherry	Puducherry
9	Gujarat	Gandhinagar
10	Jammu & Kashmir	Srinagar
11	Madhya Pradesh	Sagar
12	Haryana	Karnal
13	Madhya Pradesh	Satna
14	Karnataka	Bengaluru
15	Himachal Pradesh	Shimla
16	Uttarakhand	Dehradun
17	Tamil Nadu	Tiruppur
18	Maharashtra	Pimpri Chinchwad
19	Chhattisgarh	Bilaspur
20	Arunachal Pradesh	Pasighat
21	Jammu & Kashmir	Jammu
22	Gujarat	Dahod
23	Tamil Nadu	Tirunelveli
24	Tamil Nadu	Thoothukudi
25	Tamil Nadu	Tiruchirapalli
26	Uttar Pradesh	Jhansi
27	Mizoram	Aizawl
28	Uttar Pradesh	Allahabad
29	Uttar Pradesh	Aligarh
30	Sikkim	Gangtok



Annexure-II

S.No.	Name of State/UT	Name of City
1	Uttar Pradesh	Moradabad
2	Maharashtra	Amravati
3	Arunachal Pradesh	Itanagar
4	Tamil Nadu	Erode
5	Uttar Pradesh	Meerut
6	Dadra & Nagar Haveli	Silvassa
7	Uttar Pradesh	Bareilly
8	Bihar	Biharsharif
9	Uttar Pradesh	Saharanpur
10	Uttar Pradesh	Ghaziabad
11	Uttar Pradesh	Rampur
12	Daman & Diu	Diu
13	Tamil Nadu	Dindigul
14	Uttar Pradesh	Rae Bareli
15	Lakshadweep	Kavaratti



Annexure-III

Potential Smart Cities not participated in Round 3

S.No.	Name of State/UT	Name of City
1	Maharashtra	Greater Mumbai
2	Meghalaya	Shillong
3	West Bengal	Bidhannagar
		Durgapur
		Haldia

